

**CLEMENTINE SERVICES**

**SOPHISTICATED INVESTOR  
CERTIFICATION**

 **Clementine**

Property taken care of

# COMPLIANCE DECLARATION

## Investor Statement

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I declare that I am a self-certified sophisticated investor for the purposes of the Financial Services and Markets Act (Financial Promotion) Order 2001.

I understand this means:

- (a) I can receive financial promotions that may not have been approved by a person authorised by the Financial Services Authority;
- (b) the content of such financial promotions may not conform to rules issued by the Financial Services Authority;
- (c) by signing this statement I may lose significant rights;
- (d) I may have no right to complain to either of the following:
  - (i) the Financial Services Authority; or
  - (ii) the Financial Ombudsman Scheme;
- (e) I may have no right to seek compensation from the Financial Services Compensation Scheme.

I am a self-certified sophisticated investor because at least one of the following applies:

- (a) I am a member of a network or syndicate of business angels and have been so for at least the last six months prior to the date below;
- (b) I have made more than one investment in an unlisted company in the two years prior to the date below;
- (c) I am working, or have worked in the two years prior to the date below, in a professional capacity in the private equity sector, or in the provision of finance for small and medium enterprises;
- (d) I am currently, or have been in the two years prior to the date below, a director of a company with an annual turnover of at least £1 million.

I accept that I can lose my property and other assets from making investment decisions based on financial promotions.

I am aware that it is open to me to seek advice from someone who specialises in advising on investments.

## CLIENT SIGNATURES

### A. Signature

|       |             |
|-------|-------------|
| Date: | Signed:     |
|       |             |
|       | Print Name: |
|       |             |

### IMPORTANT NOTICE

The value of any investment can rise or fall. You may be at risk of losing some or all of your invested sum. This could mean that you won't get back the full amount you originally invested.

It is important to note that the UK facilities market is not a regulated market. Clementine Services Limited is not regulated by the FCA. These loan notes offered by Clementine Services should only be considered as unregulated investments. Private loan notes, and other small securities, are not regulated by the FCA and do not qualify for the Financial Services Compensation Scheme nor the Financial Ombudsman Scheme.

These private loan notes are aimed at High Net Worth Individuals (HNWI) and Self-Certified Sophisticated Investors (SCSI) only. This document is for limited distribution and only aimed at persons to whom it may lawfully be communicated to under FSMA and The Financial Services and Markets Act 2000 (Financial Promotion) Order 2005 ("FPO") including investment professionals, HNWI individuals, SC sophisticated investors, High Net Worth Companies, unincorporated associations, family offices, and institutional investors.

ISA eligibility does not prevent consumers from losing their money.

Investors should seek independent advice from suitably competent and authorised legal and investment professionals. All costs and commissions are disclosed. This document is issued by Clementine Limited.

**COMMITMENT**

**DISCIPLINE**

**EXCELLENCE**

**STRENGTH**

**HABIT**

**FAITH**

**PASSION**

**PERFORMANCE**

**INTEGRITY**

 **Clementine**